Proxy Voting Record

Next Edge Biotech and Life Sciences Opportunities Fund

For the period of July 1, 2022 to June 30, 2023



Next Edge Capital Corp.

1 Toronto St. Suite 200

Toronto, ON M5C 2V6 Tel:

(416) 775-3600

www.nextedgecapital.com

Proxy Voting Record

Proxy Voting Report: Q3 2022

Next Edge Biotech and Life Sciences Opportunities Fund

For the period of July 1, 2022 to September 30, 2022

CATALYST PHARMACEUTICALS, INC.

SEDOL(s)

14888U101 Meeting Type Security Annual

19-Sep-2022 Ticker Symbol CPRX Meeting Date

US14888U1016 ISIN Agenda 935699845 - Management

Record Date 01-Aug-2022 Holding Recon Date 01-Aug-2022 City / Country / United 16-Sep-2022

Vote Deadline Date States

Quick Code

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.3	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.4	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.5	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.6	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.7	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	14A Executive Compensation	Management	For	For	For	None	None
3.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
4.	Transact Other Business	Management	For	For	For	None	None

MEDICENNA THERAPEUTICS CORP.

Security 58490H107 Meeting Type Annual

Ticker Symbol MDNA Meeting Date 21-Sep-2022

ISIN CA58490H1073 Agenda 935701121 - Management

Record Date 02-Aug-2022 Holding Recon Date 02-Aug-2022 City / Country / Canada Vote Deadline Date 16-Sep-2022

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1A	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1B	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1C	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1D	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1E	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1F	Election of Directors (Majority Voting)	Management	Against	For	Against	None	None
1G	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

SYNAPTOGENIX, INC.

Security 87167T201 Meeting Type Annual

Ticker Symbol SNPX Meeting Date 11-Oct-2022

ISIN US87167T2015 Agenda 935704406 - Management

Record Date 15-Aug-2022 Holding Recon Date 15-Aug-2022
City / Country / United Vote Deadline Date 10-Oct-2022

States Vote Deadline Date

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1 Bruce T. Bernstein		For	For	For	None	None
	2 Jonathan L. Schechter		For	For	For	None	None
2.	Amend Incentive Stock Option Plan	Management	For	For	For	None	None
3.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

JANONE, INC.

Security 47089W104 Meeting Type Annual

Ticker Symbol JAN Meeting Date 02-Nov-2022

ISIN US47089W1045 Agenda 935720690 - Management

Record Date 20-Sep-2022 Holding Recon Date 20-Sep-2022
City / Country / United Vote Deadline Date 01-Nov-2022

United Vote Deadline Date 01-Nov-2022 States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.3	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.4	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	14A Executive Compensation	Management	For	For	For	None	None
3.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

Proxy Voting Record

Proxy Voting Report: Q4 2022

Next Edge Biotech and Life Sciences Opportunities Fund

For the period of October 1, 2022 to December 31, 2022

BIOVIE INC.

Security 09074F207 Meeting Type Annual

Ticker Symbol BIVI Meeting Date 09-Nov-2022

ISIN US09074F2074 Agenda 935720462 - Management

Record Date 03-Oct-2022

City / Country / United Vote Deadline Date 03-Oct-2022

States

Item	Propos	al	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors		Management					
	1	Terren S. Peizer		For	For	For	None	None
	2	Cuong Do		For	For	For	None	None
	3	Jim Lang		For	For	For	None	None
	4	Michael Sherman		For	For	For	None	None
	5	Richard J. Berman		For	For	For	None	None
	6	Steve Gorlin		For	For	For	None	None
	7	Robert Hariri, MD PhD		For	For	For	None	None
	8	Sigmund Rogich		For	For	For	None	None
2.	Ratify A	Appointment of Independent Auditors	Management	For	For	For	None	None
3.	Transa	ct Other Business	Management	For	For	For	None	None

BIOMERICA, INC.

Security 09061H307 Meeting Type Annual

Ticker Symbol BMRA Meeting Date 07-Dec-2022

ISIN US09061H3075 Agenda 935726729 - Management

Record Date 14-Oct-2022

City / Country / United Holding Recon Date 14-Oct-2022

Vote Deadline Date 06-Dec-2022

United Vote Deadline Date 06-D States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.3	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.4	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.5	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	14A Executive Compensation	Management	For	For	For	None	None
3.	14A Executive Compensation Vote Frequency	Management	1 Year	1 Year	For	None	None
4.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
5.	Adopt Incentive Stock Option Plan	Management	For	For	For	None	None

COGNETIVITY NEUROSCIENCES LTD.

Security 19243C100 Meeting Type Annual

Ticker Symbol CGNSF Meeting Date 15-Dec-2022

ISIN CA19243C1005 Agenda 935740870 - Management

Record Date 09-Nov-2022 Holding Recon Date 09-Nov-2022
City / Country / Canada Vote Deadline Date 12-Dec-2022

Item	Propos	al	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1	Fix Nur	mber of Directors	Management	For	For	For	None	None
2	Election	n of Directors	Management					
	1	Sina Habibi		For	For	For	None	None
	2	Thomas Sawyer		For	For	For	None	None
	3	David Velisek		For	For	For	None	None
	4	Christos Kalafatis		For	For	For	None	None
	5	Mark A. Philips		For	For	For	None	None
	6	Desmond M. Balakrishnan		For	For	For	None	None
3	Ratify A	Appointment of Independent Auditors	Management	For	For	For	None	None

IMAGIN MEDICAL INC.

45250L204 Meeting Type Security Annual

Ticker Symbol **IMEXF** Meeting Date 22-Dec-2022

ISIN CA45250L2049 Agenda 935743573 - Management

Record Date 21-Nov-2022 Holding Recon Date 21-Nov-2022 City / Country / Canada Vote Deadline Date 19-Dec-2022

SEDOL(s)

Quick Code

Item	Propos	al	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1	Fix Nun	nber of Directors	Management	For	For	For	None	None
2	Election	n of Directors	Management					
	1	Chris Bleck		For	For	For	None	None
	2	Kenneth Daignault		For	For	For	None	None
	3	Jim Hutchens		For	For	For	None	None
	4	Kayvon Namvar		For	For	For	None	None
	5	Kevin M. Slawin		For	For	For	None	None
3	Ratify A	Appointment of Independent Auditors	Management	For	For	For	None	None

OPSENS INC.

683823108 Meeting Type Annual and Special Meeting Security

24-Jan-2023 Ticker Symbol **OPSSF** Meeting Date

ISIN CA6838231083 Agenda 935749727 - Management

Record Date 02-Dec-2022 Holding Recon Date 02-Dec-2022 City / Country / Canada Vote Deadline Date 19-Jan-2023

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1	Election of Directors (Majority Voting)	Management					
	1 Lori Chmura		For	For	For	None	None
	2 Gaétan Duplain		For	For	For	None	None
	3 Denis M. Sirois		For	For	For	None	None
	4 Denis Harrington		For	For	For	None	None
	5 Jean Lavigueur		For	For	For	None	None
	6 Louis Laflamme		For	For	For	None	None
	7 James Patrick Mackin		For	For	For	None	None
	8 Alan Milinazzo		For	For	For	None	None
2	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3	Adopt Stock Option Plan	Management	For	For	For	None	None

SYNAPTOGENIX, INC.

Security 87167T201 Meeting Type Special

Ticker Symbol SNPX Meeting Date 14-Apr-2023

ISIN US87167T2015 Agenda 935757546 - Management

Record Date 20-Dec-2022 Holding Recon Date 20-Dec-2022
City / Country / United Vote Deadline Date 13-Apr-2023

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Stock Issuance	Management	For	For	For	None	None

MEIRAGTX HOLDINGS PLC

Security G59665102 Meeting Type Special

Ticker Symbol MGTX Meeting Date 24-Jan-2023

ISIN KYG596651029 Agenda 935753916 - Management

Record Date 22-Dec-2022 Holding Recon Date 22-Dec-2022
City / Country / United Vote Deadline Date 23-Jan-2023

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Amend Incentive Stock Option Plan	Management	For	For	For	None	None
2.	Approve Motion to Adjourn Meeting	Management	For	For	For	None	None

Proxy Voting Record

Proxy Voting Report: Q1 2023

Next Edge Biotech and Life Sciences Opportunities Fund

For the period of January 1, 2023 to March 31, 2023

MICROBIX BIOSYSTEMS INC.

Security 59501P104 Meeting Type Annual and Special Meeting

Ticker Symbol MBXBF Meeting Date 29-Mar-2023

ISIN CA59501P1045 Agenda 935768652 - Management

Record Date 13-Feb-2023 Holding Recon Date 13-Feb-2023
City / Country / Canada Vote Deadline Date 24-Mar-2023

Item	Proposa	al	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1	Election	of Directors (Majority Voting)	Management					
	1	Peter M. Blecher		Withheld	For	Against	None	None
	2	Mark A. Cochran		Withheld	For	Against	None	None
	3	V. C. Embro-Pantalony		For	For	For	None	None
	4	Joseph D. Renner		For	For	For	None	None
	5	Martin Marino		Withheld	For	Against	None	None
	6	Cameron Groome		For	For	For	None	None
	7	Jennifer Stewart		Withheld	For	Against	None	None
2	Ratify A	ppointment of Independent Auditors	Management	For	For	For	None	None

SERNOVA CORP.

81732W104 Meeting Type Contested-Annual Security

Ticker Symbol SEOVF Meeting Date 27-Apr-2023

ISIN CA81732W1041 Agenda 935792641 - Management

Record Date 08-Mar-2023 Holding Recon Date 08-Mar-2023 City / Country / Canada Vote Deadline Date 24-Apr-2023

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1	Ratify Appointment of Independent Auditors	Management		For		None	None
2A	Election of Directors (Full Slate)	Management		For		None	None
2B	Election of Directors (Full Slate)	Management		For		None	None
2C	Election of Directors (Full Slate)	Management		For		None	None
2D	Election of Directors (Full Slate)	Management		For		None	None
2E	Election of Directors (Full Slate)	Management		For		None	None
2F	Election of Directors (Full Slate)	Management		For		None	None
2G	Election of Directors (Full Slate)	Management		For		None	None
2H	Election of Directors (Full Slate)	Management		For		None	None
3	Amend Stock Option Plan	Management		For		None	None

SERNOVA CORP.

81732W104 Meeting Type Contested-Annual Security

27-Apr-2023 Ticker Symbol SEOVF Meeting Date

ISIN CA81732W1041 Agenda 935792641 - Management

Record Date 08-Mar-2023 Holding Recon Date 08-Mar-2023 City / Country / Canada Vote Deadline Date 24-Apr-2023

SEI

EDOL(s)	Quick Code
DOL(S)	Quick Code

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
2A	Election of Directors (Full Slate)	Management	Against	For	Against	None	None
2B	Election of Directors (Full Slate)	Management	Against	For	Against	None	None
2C	Election of Directors (Full Slate)	Management	For	For	For	None	None
2D	Election of Directors (Full Slate)	Management	For	For	For	None	None
2E	Election of Directors (Full Slate)	Management	For	For	For	None	None
2F	Election of Directors (Full Slate)	Management	For	For	For	None	None
2G	Election of Directors (Full Slate)	Management	For	For	For	None	None
2H	Election of Directors (Full Slate)	Management	Against	For	Against	None	None
3	Amend Stock Option Plan	Management	For	For	For	None	None
	·						

SERNOVA CORP.

Security 81732W104 Meeting Type Contested-Annual

Ticker Symbol SEOVF Meeting Date 27-Apr-2023

ISIN CA81732W1041 Agenda 935812657 - Opposition

Record Date 08-Mar-2023 Holding Recon Date 08-Mar-2023
City / Country / Canada Vote Deadline Date 24-Apr-2023

vote Bedaine Bate 217pf

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1	Ratify Appointment of Independent Auditors	Management		For		None	None
2A	Election of Directors (Full Slate)	Management		For		None	None
2B	Election of Directors (Full Slate)	Management		For		None	None
2C	Election of Directors (Full Slate)	Management		For		None	None
2D	Election of Directors (Full Slate)	Management		For		None	None
2E	Election of Directors (Full Slate)	Management		For		None	None
2F	Election of Directors (Full Slate)	Management		For		None	None
2G	Election of Directors (Full Slate)	Management		For		None	None
2H	Election of Directors (Full Slate)	Management		For		None	None
21	Election of Directors (Non-Management)	Management		Against		None	None
2J	Election of Directors (Non-Management)	Management		Against		None	None
3	Miscellaneous Corporate Actions	Management		Against		None	None

RAPID MICRO BIOSYSTEMS, INC.

75340L104 Meeting Type Annual Security

04-May-2023 Ticker Symbol RPID Meeting Date

ISIN US75340L1044 Agenda 935787311 - Management

Record Date 10-Mar-2023 Holding Recon Date 10-Mar-2023 City / Country / United 03-May-2023

Vote Deadline Date States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1 Jeffrey Schwartz		For	For	For	None	None
	2 Richard Kollender		For	For	For	None	None
	3 Natale Ricciardi		For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

GALAPAGOS N V

Security 36315X101 Meeting Type Annual

Ticker Symbol GLPG Meeting Date 25-Apr-2023

ISIN US36315X1019 Agenda 935800866 - Management

Record Date 17-Mar-2023 Holding Recon Date 17-Mar-2023
City / Country / United Vote Deadline Date 14-Apr-2023

United Vote Deadline Date 14-Apr-2023 States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
2.	Adopt Accounts for Past Year	Management	For	For	For	None	None
5.	Miscellaneous Corporate Actions	Management	For	For	For	None	None
6.	Approve Discharge of Supervisory Board	Management	For	For	For	None	None
7.	Approve Remuneration of Directors and Auditors	Management	For	For	For	None	None
8.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
9.	Election of Directors (Full Slate)	Management	For	For	For	None	None
10.	Election of Directors (Full Slate)	Management	For	For	For	None	None
11.	Election of Directors (Full Slate)	Management	For	For	For	None	None

NUVASIVE, INC.

Security 670704105 Meeting Type Special

Ticker Symbol NUVA Meeting Date 27-Apr-2023

ISIN US6707041058 Agenda 935811352 - Management

Record Date 24-Mar-2023 Holding Recon Date 24-Mar-2023
City / Country / United Vote Deadline Date 26-Apr-2023

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Approve Merger Agreement	Management	For	For	For	None	None
2.	14A Executive Compensation	Management	For	For	For	None	None
3.	Approve Motion to Adjourn Meeting	Management	For	For	For	None	None

CLEARPOINT NEURO, INC.

Security 18507C103 Meeting Type Annual

Ticker Symbol CLPT Meeting Date 24-May-2023

ISIN US18507C1036 Agenda 935817950 - Management

Record Date 27-Mar-2023 Holding Recon Date 27-Mar-2023
City / Country / United Vote Deadline Date 23-May-2023

States

Item	Propos	al	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Electio	n of Directors	Management					
	1	Joseph M. Burnett		For	For	For	None	None
	2	Lynnette C. Fallon		For	For	For	None	None
	3	R. John Fletcher		For	For	For	None	None
	4	Pascal E.R. Girin		For	For	For	None	None
	5	B. Kristine Johnson		For	For	For	None	None
	6	Matthew B. Klein		For	For	For	None	None
	7	Linda M. Liau		For	For	For	None	None
	8	Timothy T. Richards		For	For	For	None	None
2.	Ratify A	Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Ex	ecutive Compensation	Management	For	For	For	None	None
4.	Authori	ze Stock Decrease	Management	For	For	For	None	None

TANDEM DIABETES CARE, INC.

Security 875372203 Meeting Type Annual

Ticker Symbol TNDM Meeting Date 24-May-2023

ISIN US8753722037 Agenda 935806123 - Management

Record Date 28-Mar-2023 Holding Recon Date 28-Mar-2023

City / Country / United Vote Deadline Date 23-May-2023

/ United Vote Deadline Date 23-May-2023 States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1a.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1b.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1c.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1d.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1e.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1f.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1g.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Adopt Incentive Stock Option Plan	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None
4.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

Proxy Voting Record

Proxy Voting Report: Q2 2023

Next Edge Biotech and Life Sciences Opportunities Fund

For the period of April 1, 2023 to June 30, 2023

ARCUTIS BIOTHERAPEUTICS, INC.

City / Country

Security 03969K108 Meeting Type Annual

Ticker Symbol ARQT Meeting Date 31-May-2023

ISIN US03969K1088 Agenda 935826327 - Management

Record Date 03-Apr-2023 Holding Recon Date 03-Apr-2023

/ United Vote Deadline Date 30-May-2023 States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1a.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1b.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1c.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None

EXELIXIS, INC.

Security 30161Q104 Meeting Type Contested-Annual

Ticker Symbol EXEL Meeting Date 31-May-2023

ISIN US30161Q1040 Agenda 935860418 - Management

Record Date 03-Apr-2023 Holding Recon Date 03-Apr-2023

City / Country / United Vote Deadline Date 30-May-2023

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1A.	Fix Number of Directors	Management	For	For	For	None	None
1B.	Fix Number of Directors	Management	For	For	For	None	None
1C.	Fix Number of Directors	Management	For	For	For	None	None
1D.	Fix Number of Directors	Management	For	For	For	None	None
1E.	Fix Number of Directors	Management	For	For	For	None	None
1F.	Fix Number of Directors	Management	For	For	For	None	None
1G.	Fix Number of Directors	Management	For	For	For	None	None
1H.	Fix Number of Directors	Management	For	For	For	None	None
11.	Fix Number of Directors	Management	For	For	For	None	None
1J.	Election of Directors (Full Slate)	Management	For	For	For	None	None
1K.	Election of Directors (Full Slate)	Management	For	For	For	None	None
1L.	Election of Directors (Full Slate)	Management	Withheld	Withheld	*	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None
4.	14A Executive Compensation Vote Frequency	Management	1 Year	1 Year	For	None	None

EXELIXIS, INC.

Security 30161Q104 Meeting Type Contested-Annual

Ticker Symbol EXEL Meeting Date 31-May-2023

ISIN US30161Q1040 Agenda 935860420 - Opposition

Record Date 03-Apr-2023

City / Country / United Vote Deadline Date 03-Apr-2023

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1A.	Election of Directors (Full Slate)	Management		For		None	None
1B.	Election of Directors (Full Slate)	Management		For		None	None
1C.	Election of Directors (Full Slate)	Management		For		None	None
1D.	Fix Number of Directors	Management		None		None	None
1E.	Fix Number of Directors	Management		None		None	None
1F.	Fix Number of Directors	Management		None		None	None
1G.	Fix Number of Directors	Management		None		None	None
1H.	Fix Number of Directors	Management		None		None	None
1I.	Fix Number of Directors	Management		None		None	None
1J.	Fix Number of Directors	Management		None		None	None
1K.	Fix Number of Directors	Management		None		None	None
1L.	Fix Number of Directors	Management		Withheld		None	None
2.	Ratify Appointment of Independent Auditors	Management		For		None	None
3.	14A Executive Compensation	Management		Against		None	None
4.	14A Executive Compensation Vote Frequency	Management		1 Year		None	None

SANGAMO THERAPEUTICS, INC.

800677106 Meeting Type Security Annual

Ticker Symbol SGMO Meeting Date 01-Jun-2023

US8006771062 ISIN Agenda 935824537 - Management

Record Date 03-Apr-2023 Holding Recon Date 03-Apr-2023 City / Country

/ United Vote Deadline Date 31-May-2023

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.3	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.4	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.5	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.6	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.7	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.8	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.9	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	14A Executive Compensation	Management	For	For	For	None	None
3.	14A Executive Compensation Vote Frequency	Management	1 Year	1 Year	For	None	None
4.	Amend Stock Compensation Plan	Management	For	For	For	None	None
5.	Authorize Common Stock Increase	Management	For	For	For	None	None
6.	Miscellaneous Corporate Actions	Management	For	For	For	None	None
7.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

APELLIS PHARMACEUTICALS INC.

Security 03753U106 Meeting Type Annual

Ticker Symbol APLS Meeting Date 01-Jun-2023

ISIN US03753U1060 Agenda 935829892 - Management

Record Date 03-Apr-2023 Holding Recon Date 03-Apr-2023

City / Country / United Vote Deadline Date 31-May-2023 States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None

PACIFIC BIOSCIENCES OF CALIFORNIA, INC.

69404D108 Meeting Type Security Annual

Ticker Symbol PACB Meeting Date 24-May-2023

ISIN US69404D1081 Agenda 935824640 - Management

Record Date 05-Apr-2023 Holding Recon Date 05-Apr-2023 City / Country

/ United Vote Deadline Date 23-May-2023

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1a.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1b.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1c.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None
4.	14A Executive Compensation Vote Frequency	Management	3 Years	3 Years	For	None	None
5.	Miscellaneous Corporate Actions	Management	For	None		None	None

GALAPAGOS N V

36315X101 Meeting Type Annual Security

25-Apr-2023 Ticker Symbol GLPG Meeting Date

US36315X1019 ISIN Agenda 935830631 - Management

Record Date 06-Apr-2023 Holding Recon Date 06-Apr-2023 City / Country

14-Apr-2023 / United Vote Deadline Date

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
2.	Adopt Accounts for Past Year	Management	For	For	For	None	None
5.	Miscellaneous Corporate Actions	Management	For	For	For	None	None
6.	Approve Discharge of Supervisory Board	Management	For	For	For	None	None
7.	Approve Remuneration of Directors and Auditors	Management	For	For	For	None	None
8.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
9.	Election of Directors (Full Slate)	Management	For	For	For	None	None
10.	Election of Directors (Full Slate)	Management	For	For	For	None	None
11.	Election of Directors (Full Slate)	Management	For	For	For	None	None

REZOLUTE, INC.

Security 76200L309 Meeting Type Annual

Ticker Symbol RZLT Meeting Date 25-May-2023

ISIN US76200L3096 Agenda 935845860 - Management

Record Date 06-Apr-2023

City / Country / United Vote Deadline Date 06-Apr-2023

States

Item	Propos	al	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Electio	n of Directors	Management					
	1	Nevan Charles Elam		For	For	For	None	None
	2	Gil Labrucherie		For	For	For	None	None
	3	Philippe Fauchet		For	For	For	None	None
	4	Nerissa Kreher		For	For	For	None	None
	5	Wladimir Hogenhuis		For	For	For	None	None
	6	Young-Jin Kim		For	For	For	None	None
2.	Ratify /	Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Ex	ecutive Compensation	Management	For	For	For	None	None
4.	14A Ex Freque	secutive Compensation Vote	Management	1 Year	1 Year	For	None	None

SOMALOGIC, INC

Security 83444K105 Meeting Type Annual

Ticker Symbol SLGC Meeting Date 05-Jun-2023

ISIN US83444K1051 Agenda 935854489 - Management

Record Date 10-Apr-2023 Holding Recon Date 10-Apr-2023
City / Country / United Vote Deadline Date 02-Jun-2023

/ United Vote Deadline Date 02-Jun-2023 States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.3	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	Amend Employee Stock Purchase Plan	Management	For	For	For	None	None

BEAM THERAPEUTICS INC.

Security 07373V105 Meeting Type Annual

Ticker Symbol BEAM Meeting Date 06-Jun-2023

ISIN US07373V1052 Agenda 935835821 - Management

Record Date 10-Apr-2023 Holding Recon Date 10-Apr-2023
City / Country / United Vote Deadline Date 05-Jun-2023

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1a.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1b.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None

ACUMEN PHARMACEUTICALS, INC.

Security 00509G209 Meeting Type Annual

Ticker Symbol ABOS Meeting Date 06-Jun-2023

ISIN US00509G2093 Agenda 935843056 - Management

Record Date 10-Apr-2023 Holding Recon Date 10-Apr-2023

City / Country / United Vote Deadline Date 05-Jun-2023

United Vote Deadline Date 05-Jun-2023 States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	Miscellaneous Corporate Actions	Management	For	For	For	None	None

ANNEXON, INC.

Security 03589W102 Meeting Type Annual

Ticker Symbol ANNX Meeting Date 08-Jun-2023

ISIN US03589W1027 Agenda 935829943 - Management

Record Date 10-Apr-2023 Holding Recon Date 10-Apr-2023
City / Country / United Vote Deadline Date 07-Jun-2023

States

Item	Proposal		Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors		Management					
	1 Bettina M Co	ckroft, MD		For	For	For	None	None
	2 Douglas Love)		For	For	For	None	None
	3 Thomas G. V	/iggans		For	For	For	None	None
2.	Ratify Appointment of I	ndependent Auditors	Management	For	For	For	None	None
3.	14A Executive Compe	nsation	Management	For	For	For	None	None

VICARIOUS SURGICAL INC

Security 92561V109 Meeting Type Annual

Ticker Symbol RBOT Meeting Date 01-Jun-2023

ISIN US92561V1098 Agenda 935854643 - Management

Record Date 11-Apr-2023 Holding Recon Date 11-Apr-2023
City / Country / United Vote Deadline Date 31-May-2023

United Vote Deadline Date 31-May-2023 States

Item	Proposa	al	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election	of Directors	Management					
	1	Adam Sachs		For	For	For	None	None
	2	Sammy Khalifa		For	For	For	None	None
	3	David Styka		For	For	For	None	None
	4	Dr. V. Carr-Brendel PhD		For	For	For	None	None
	5	Ric Fulop		For	For	For	None	None
	6	David Ho, M.D.		For	For	For	None	None
	7	Beverly Huss		For	For	For	None	None
	8	Donald Tang		For	For	For	None	None
2.	Amend	Stock Compensation Plan	Management	For	For	For	None	None
3.	Miscella	aneous Corporate Actions	Management	For	For	For	None	None
4.	Ratify A	ppointment of Independent Auditors	Management	For	For	For	None	None

MEIRAGTX HOLDINGS PLC

Security G59665102 Meeting Type Annual

Ticker Symbol MGTX Meeting Date 08-Jun-2023

ISIN KYG596651029 Agenda 935846280 - Management

Record Date 12-Apr-2023 Holding Recon Date 12-Apr-2023
City / Country / United Vote Deadline Date 07-Jun-2023

States

Item	Propos	al	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election	n of Directors	Management					
	1	Ellen Hukkelhoven Ph.D.		For	For	For	None	None
	2	Nicole Seligman		For	For	For	None	None
	3	Debra Yu, M.D.		For	For	For	None	None
2.	Ratify A	Appointment of Independent Auditors	Management	For	For	For	None	None

COGNITION THERAPEUTICS, INC.

Security 19243B102 Meeting Type Annual

Ticker Symbol CGTX Meeting Date 09-Jun-2023

ISIN US19243B1026 Agenda 935846103 - Management

Record Date 13-Apr-2023 Holding Recon Date 13-Apr-2023
City / Country / United Vote Deadline Date 08-Jun-2023

United Vote Deadline Date States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1 Aaron Fletcher, Ph.D.		For	For	For	None	None
	2 Lisa Ricciardi		For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

IOVANCE BIOTHERAPEUTICS, INC.

Security 462260100 Meeting Type Annual

Ticker Symbol IOVA Meeting Date 06-Jun-2023

ISIN US4622601007 Agenda 935846177 - Management

Record Date 14-Apr-2023 Holding Recon Date 14-Apr-2023
City / Country / United Vote Deadline Date 05-Jun-2023

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1 Iain Dukes, D. Phil.		For	For	For	None	None
	2 Athena Countouriotis MD		For	For	For	None	None
	3 Wendy L. Yarno		For	For	For	None	None
	4 Ryan Maynard		For	For	For	None	None
	5 Merrill A. McPeak		For	For	For	None	None
	6 Wayne P. Rothbaum		For	For	For	None	None
	7 Michael Weiser, MD, PhD		For	For	For	None	None
2.	14A Executive Compensation	Management	For	For	For	None	None
3.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
4.	Amend Stock Compensation Plan	Management	For	For	For	None	None
5.	Amend Employee Stock Purchase Plan	Management	For	For	For	None	None
6.	Authorize Common Stock Increase	Management	For	For	For	None	None

INMUNE BIO, INC.

Security 45782T105 Meeting Type Annual

Ticker Symbol INMB Meeting Date 01-Jun-2023

ISIN US45782T1051 Agenda 935857966 - Management

Record Date 17-Apr-2023

City / Country / United Holding Recon Date 17-Apr-2023

Vote Deadline Date 31-May-2023

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1 Raymond J. Tesi, MD		For	For	For	None	None
	2 J. Kelly Ganjei		For	For	For	None	None
	3 Tim Schroeder		For	For	For	None	None
	4 Scott Juda, JD		For	For	For	None	None
	5 Edgardo Baracchini, PhD		For	For	For	None	None
	6 Marcia Allen		For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	Amend Incentive Stock Option Plan	Management	For	For	For	None	None

ALECTOR, INC.

Security 014442107 Meeting Type Annual

Ticker Symbol ALEC Meeting Date 14-Jun-2023

ISIN US0144421072 Agenda 935855051 - Management

Record Date 17-Apr-2023 Holding Recon Date 17-Apr-2023
City / Country / United Vote Deadline Date 13-Jun-2023

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1 Elizabeth Garofalo, MD		For	For	For	None	None
	2 Terry McGuire		For	For	For	None	None
	3 Kristine Yaffe, M.D.		For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None

10X GENOMICS, INC.

88025U109 Meeting Type Security Annual

Ticker Symbol TXG Meeting Date 14-Jun-2023

US88025U1097 ISIN Agenda 935853095 - Management

Record Date 19-Apr-2023 Holding Recon Date 19-Apr-2023 City / Country

/ United Vote Deadline Date 13-Jun-2023

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.3	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None

SCHRODINGER, INC.

Security 80810D103 Meeting Type Annual

Ticker Symbol SDGR Meeting Date 15-Jun-2023

ISIN US80810D1037 Agenda 935852017 - Management

Record Date 19-Apr-2023 Holding Recon Date 19-Apr-2023
City / Country / United Vote Deadline Date 14-Jun-2023

/ United Vote Deadline Date 14-Jun-2023 States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1a.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1b.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1c.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	14A Executive Compensation	Management	For	For	For	None	None
3.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

GINKGO BIOWORKS HOLDINGS, INC.

Security 37611X100 Meeting Type Annual

Ticker Symbol DNA Meeting Date 16-Jun-2023

ISIN US37611X1000 Agenda 935855138 - Management

Record Date 20-Apr-2023 Holding Recon Date 20-Apr-2023

City / Country / United Vote Deadline Date 13-Jun-2023

United Vote Deadline Date 13-Jun-2023 States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1a.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1b.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1c.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1d.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1e.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1f.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1g.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None
4.	14A Executive Compensation Vote Frequency	Management	1 Year	1 Year	For	None	None

VAXXINITY, INC.

Security 92244V104 Meeting Type Annual

Ticker Symbol VAXX Meeting Date 20-Jun-2023

ISIN US92244V1044 Agenda 935859364 - Management

Record Date 21-Apr-2023 Holding Recon Date 21-Apr-2023
City / Country / United Vote Deadline Date 16-Jun-2023

States

Item	Proposa	al	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election	n of Directors	Management					
	1	Louis Reese		For	For	For	None	None
	2	Mei Mei Hu		For	For	For	None	None
	3	Katherine Eade		For	For	For	None	None
	4	Landon Ogilvie		For	For	For	None	None
	5	James Smith		For	For	For	None	None
	6	Gabrielle Toledano		For	For	For	None	None
	7	Peter Diamandis		For	For	For	None	None
	8	George Hornig		For	For	For	None	None
	9	Peter Powchik		For	For	For	None	None
2.	Ratify A	appointment of Independent Auditors	Management	For	For	For	None	None

CTI BIOPHARMA CORP.

12648L601 Meeting Type Annual Security

31-May-2023 Ticker Symbol CTIC Meeting Date

US12648L6011 935849945 - Management ISIN Agenda

Record Date 24-Apr-2023 Holding Recon Date 24-Apr-2023 City / Country / United 30-May-2023

Vote Deadline Date States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1a.	Election of Directors (Majority Voting)	Management		For		None	None
1b.	Election of Directors (Majority Voting)	Management		For		None	None
1c.	Election of Directors (Majority Voting)	Management		For		None	None
1d.	Election of Directors (Majority Voting)	Management		For		None	None
1e.	Election of Directors (Majority Voting)	Management		For		None	None
1f.	Election of Directors (Majority Voting)	Management		For		None	None
1g.	Election of Directors (Majority Voting)	Management		For		None	None
2.	Ratify Appointment of Independent Auditors	Management		For		None	None
3.	14A Executive Compensation	Management		For		None	None
4.	14A Executive Compensation Vote Frequency	Management		1 Year		None	None

BLUEBIRD BIO, INC.

Security 09609G100 Meeting Type Annual

Ticker Symbol BLUE Meeting Date 16-Jun-2023

ISIN US09609G1004 Agenda 935854819 - Management

Record Date 24-Apr-2023 Holding Recon Date 24-Apr-2023
City / Country / United Vote Deadline Date 15-Jun-2023

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1a.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1b.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	14A Executive Compensation	Management	For	For	For	None	None
3.	Authorize Common Stock Increase	Management	For	For	For	None	None
4.	Approve Stock Compensation Plan	Management	For	For	For	None	None
5.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
6.	Approve Motion to Adjourn Meeting	Management	For	For	For	None	None

ELEDON PHARMACEUTICALS, INC.

Security 28617K101 Meeting Type Annual

Ticker Symbol ELDN Meeting Date 21-Jun-2023

ISIN US28617K1016 Agenda 935860848 - Management

Record Date 24-Apr-2023 Holding Recon Date 24-Apr-2023

City / Country / United Vote Deadline Date 20-Jun-2023

/ United Vote Deadline Date 20-Jun-2023 States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Stock Issuance	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None
4.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

KRONOS BIO INC

Security 50107A104 Meeting Type Annual

Ticker Symbol KRON Meeting Date 22-Jun-2023

ISIN US50107A1043 Agenda 935854186 - Management

Record Date 24-Apr-2023 Holding Recon Date 24-Apr-2023
City / Country / United Vote Deadline Date 21-Jun-2023

/ United Vote Deadline Date 21-Jun-2023 States

Item	Propos	al	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election	n of Directors	Management					
	1	Arie Belldegrun MD FACS		For	For	For	None	None
	2	Joshua Kazam		For	For	For	None	None
	3	Elena Ridloff, CFA.		For	For	For	None	None
2.	14A Ex	ecutive Compensation	Management	For	For	For	None	None
3.	Ratify A	Appointment of Independent Auditors	Management	For	For	For	None	None

NUVASIVE, INC.

SEDOL(s)

Security 670704105 Meeting Type Annual

Ticker Symbol NUVA Meeting Date 09-Jun-2023

ISIN US6707041058 Agenda 935867121 - Management

Record Date 25-Apr-2023 Holding Recon Date 25-Apr-2023
City / Country / United Vote Deadline Date 08-Jun-2023

/ United Vote Deadline Date 08-Jun-2023 States

Quick Code

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.3	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None
4.	14A Executive Compensation Vote Frequency	Management	1 Year	1 Year	For	None	None

ORCHARD THERAPEUTICS PLC

Security 68570P200 Meeting Type Annual

Ticker Symbol ORTX Meeting Date 14-Jun-2023

ISIN US68570P2002 Agenda 935867640 - Management

Record Date 27-Apr-2023 Holding Recon Date 27-Apr-2023

City / Country / United Vote Deadline Date 07-Jun-2023

United Vote Deadline Date 07-Jun-2023 States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
O1	Election of Directors (Full Slate)	Management	For	For	For	None	None
02	Election of Directors (Full Slate)	Management	For	For	For	None	None
О3	Election of Directors (Full Slate)	Management	For	For	For	None	None
04	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
O5	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
O6	Approve Remuneration of Directors and Auditors	Management	For	For	For	None	None
07	Adopt Accounts for Past Year	Management	For	For	For	None	None
08	14A Executive Compensation	Management	For	For	For	None	None
O9	Receive Directors' Report	Management	For	For	For	None	None
O10	Miscellaneous Corporate Actions	Management	For	For	For	None	None
011	Miscellaneous Corporate Actions	Management	For	For	For	None	None
S12	Allot Securities	Management	For	For	For	None	None
S13	Allot Securities	Management	For	For	For	None	None

HEPION PHARMACEUTICALS, INC.

Security 426897104 Meeting Type Annual

Ticker Symbol HEPA Meeting Date 22-Jun-2023

ISIN US4268971045 Agenda 935857877 - Management

Record Date 27-Apr-2023 Holding Recon Date 27-Apr-2023
City / Country / United Vote Deadline Date 21-Jun-2023

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.3	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.4	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.5	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.6	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.7	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	Adopt Omnibus Stock Option Plan	Management	For	For	For	None	None
4.	14A Executive Compensation	Management	For	For	For	None	None

SQI DIAGNOSTICS INC.

Security 78466B108 Meeting Type Annual and Special Meeting

SQIDF 26-Jun-2023 Ticker Symbol Meeting Date

CA78466B1085 935888240 - Management ISIN Agenda

Record Date 29-May-2023 Holding Recon Date 29-May-2023 City / Country / Canada Vote Deadline Date 27-Jun-2023

SEI Code

EDOL(s)	Quick C
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Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1A	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1B	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1C	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1D	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1E	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3	Approve Reverse Stock Split	Management	For	For	For	None	None
4	Miscellaneous Corporate Actions	Management	For	For	For	None	None
5	Amend Stock Option Plan	Management	For	For	For	None	None