## **Proxy Voting Record**

# Next Edge Biotech and Life Sciences Opportunities Fund

For the period of July 1, 2021 to June 30, 2022



Next Edge Capital Corp.

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## **Proxy Voting Record**

Proxy Voting Report: Q3 2021

Next Edge Biotech and Life Sciences Opportunities Fund

For the period of July 1, 2021 to September 30, 2021

#### PROTALIX BIOTHERAPEUTICS, INC.

Security 74365A309 Meeting Type Annual

Ticker Symbol PLX Meeting Date 07-Jul-2021

ISIN US74365A3095 Agenda 935460270 - Management

Record Date 28-May-2021 Holding Recon Date 28-May-2021

City / Country / Israel Vote Deadline Date 06-Jul-2021

Item	Proposa	ıl	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election	of Directors	Management					
	1	Zeev Bronfeld		For	For	For	None	None
	2	Dror Bashan		For	For	For	None	None
	3	Amos Bar Shalev		For	For	For	None	None
	4	Pol F. Boudes, M.D.		For	For	For	None	None
	5	David Granot		For	For	For	None	None
	6	Gwen A. Melincoff		For	For	For	None	None
	7	Aharon Schwartz, Ph.D.		For	For	For	None	None
2.	14A Exe	ecutive Compensation	Management	For	For	For	None	None
3.	Ratify A	ppointment of Independent Auditors	Management	For	For	For	None	None

#### ICO THERAPEUTICS INC.

Security 45107J105 Meeting Type Annual and Special Meeting

Ticker Symbol ICOTF Meeting Date 03-Aug-2021

ISIN CA45107J1057 Agenda 935472302 - Management

Record Date 24-Jun-2021 Holding Recon Date 24-Jun-2021

City / Country / Canada Vote Deadline Date 28-Jul-2021

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1	Fix Number of Directors	Management	For	For	For	None	None
2	Election of Directors	Management					
	1 William Jarosz		For	For	For	None	None
	2 Michael Liggett		For	For	For	None	None
	3 Peter Hnik		For	For	For	None	None
3	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
4	Miscellaneous Corporate Actions	Management	For	For	For	None	None
5	Approve Continuance of Company	Management	For	For	For	None	None
6	Approve Reverse Stock Split	Management	For	For	For	None	None
7	Approve Company Name Change	Management	For	For	For	None	None

#### HTG MOLECULAR DIAGNOSTICS, INC.

SEDOL(s)

Security 40434H203 Meeting Type Annual

Ticker Symbol HTGM Meeting Date 18-Aug-2021

ISIN US40434H2031 Agenda 935469800 - Management

Record Date 22-Jun-2021 Holding Recon Date 22-Jun-2021

City / Country / United Vote Deadline Date 17-Aug-2021

States Quick Code

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1 Ann F. Hanham		For	For	For	None	None
	2 Michelle R. Griffin		For	For	For	None	None
2.	Amend Employee Stock Purchase Plan	Management	For	For	For	None	None
3.	Approve Motion to Adjourn Meeting	Management	For	For	For	None	None
4.	14A Executive Compensation	Management	For	For	For	None	None
5.	14A Executive Compensation Vote Frequency	Management	1 Year	1 Year	For	None	None
6.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

#### MEDICENNA THERAPEUTICS CORP.

Security 58490H107 Meeting Type Annual

Ticker Symbol MDNA Meeting Date 23-Sep-2021

ISIN CA58490H1073 Agenda 935490538 - Management

Record Date 20-Aug-2021 Holding Recon Date 20-Aug-2021

City / Country / Canada Vote Deadline Date 20-Sep-2021

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1	Election of Directors (Majority Voting)	Management					
	1 Dr. Fahar Merchant		For	For	For	None	None
	2 Mr. Albert Beraldo		For	For	For	None	None
	3 Ms. Karen Dawes		For	For	For	None	None
	4 Dr. J. (Jack) Geltosky		For	For	For	None	None
	5 Ms. Rosemina Merchant		For	For	For	None	None
	6 Dr. Chandrakant Panchal		For	For	For	None	None
	7 Dr. John Sampson		For	For	For	None	None
2	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

## **Proxy Voting Record**

Proxy Voting Report: Q4 2021

Next Edge Biotech and Life Sciences Opportunities Fund

For the period of October 1, 2021 to December 31, 2021

# BIOVIE INC.

Security 09074F207 Meeting Type Annual

Ticker Symbol BIVI Meeting Date 13-Oct-2021

ISIN US09074F2074 Agenda 935497708 - Management

Record Date 10-Sep-2021 Holding Recon Date 10-Sep-2021

City / Country / United Vote Deadline Date 12-Oct-2021

States

Item	Propos	al	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election	n of Directors	Management					
	1	Terren S. Peizer		For	For	For	None	None
	2	Cuong Do		For	For	For	None	None
	3	Jim Lang		For	For	For	None	None
	4	Michael Sherman		For	For	For	None	None
	5	Richard J. Berman		For	For	For	None	None
	6	Steve Gorlin		For	For	For	None	None
	7	Robert Hariri, M.D. PhD		For	For	For	None	None
	8	Sigmund Rogich		For	For	For	None	None
2.	Ratify A	Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Ex	ecutive Compensation	Management	Abstain	For	Against	None	None
4.	14A Ex Freque	ecutive Compensation Vote ncy	Management	Abstain	3 Years	Against	None	None

#### ACTINIUM PHARMACEUTICALS, INC.

SEDOL(s)

Security 00507W206 Meeting Type Annual

Ticker Symbol ATNM Meeting Date 09-Nov-2021

ISIN US00507W2061 Agenda 935509591 - Management

Record Date 13-Sep-2021 Holding Recon Date 13-Sep-2021

City / Country / United Vote Deadline Date 08-Nov-2021

States Quick Code

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1 Sandesh Seth		For	For	For	None	None
	2 Jeffrey W. Chell		For	For	For	None	None
2.	Amend Stock Compensation Plan	Management	For	For	For	None	None
3.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

#### IMMUNOPRECISE ANTIBODIES LTD.

Security 45257F200 Meeting Type Annual

Ticker Symbol IΡΑ Meeting Date 30-Nov-2021

ISIN CA45257F2008 Agenda 935515950 - Management

Record Date 04-Oct-2021 Holding Recon Date 04-Oct-2021 City / Country / Canada Vote Deadline Date 24-Nov-2021

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1	Fix Number of Directors	Management	For	For	For	None	None
2	Election of Directors	Management					
	1 Jennifer Bath		For	For	For	None	None
	2 James Kuo		For	For	For	None	None
	3 Greg Smith		For	For	For	None	None
	4 Robert Burke		For	For	For	None	None
	5 Paul Andreola		For	For	For	None	None
	6 Anna Pettersson		For	For	For	None	None
3	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
4	Adopt Stock Option Plan	Management	For	For	For	None	None

#### CATALYST PHARMACEUTICALS, INC.

Security 14888U101 Meeting Type Annual

Ticker Symbol CPRX Meeting Date 03-Dec-2021

ISIN US14888U1016 Agenda 935513083 - Management

Record Date 20-Oct-2021 Holding Recon Date 20-Oct-2021

City / Country / United Vote Deadline Date 02-Dec-2021

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.3	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.4	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.5	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.6	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.7	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Amend Incentive Stock Option Plan	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None
4.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
5.	Transact Other Business	Management	For	For	For	None	None

## IMAGIN MEDICAL INC.

Security 45250L204 Meeting Type Annual and Special Meeting

Ticker Symbol IMEXF Meeting Date 23-Dec-2021

ISIN CA45250L2049 Agenda 935528022 - Management

Record Date 22-Nov-2021 Holding Recon Date 22-Nov-2021

City / Country / Canada Vote Deadline Date 20-Dec-2021

Item	Propos	al	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1	Electio	n of Directors	Management					
	1	Jim Hutchens		For	For	For	None	None
	2	Kayvon Namvar		For	For	For	None	None
	3	Kenneth Daignault		For	For	For	None	None
	4	Chris Bleck		For	For	For	None	None
	5	Kevin M. Slawin		For	For	For	None	None
2	Ratify A	Appointment of Independent Auditors	Management	For	For	For	None	None
3	Miscell	aneous Corporate Actions	Management	For	For	For	None	None

## **Proxy Voting Record**

Proxy Voting Report: Q1 2022

Next Edge Biotech and Life Sciences Opportunities Fund

For the period of January 1, 2022 to March 31, 2022

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Security 683823108 Meeting Type Annual and Special Meeting

Ticker Symbol OPSSF Meeting Date 18-Jan-2022

ISIN CA6838231083 Agenda 935536358 - Management

Record Date 02-Dec-2021 Holding Recon Date 02-Dec-2021

City / Country / Canada Vote Deadline Date 13-Jan-2022

OL	.bot(3)			Quick Code			
Ite	m Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1	Election of Directors (Majority Voting)	Management					
	1 Lori Chmura		For	For	For	None	None
	2 Gaétan Duplain		For	For	For	None	None
	3 Denis M. Sirois		For	For	For	None	None
	4 Denis Harrington		For	For	For	None	None
	5 Jean Lavigueur		For	For	For	None	None
	6 Louis Laflamme		For	For	For	None	None
	7 James Patrick Mackin		For	For	For	None	None
	8 Alan Milinazzo		For	For	For	None	None
2	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3	Approve Charter Amendment	Management	For	For	For	None	None

## SQI DIAGNOSTICS INC.

Security 78466B108 Meeting Type Annual and Special Meeting

Ticker Symbol SQIDF Meeting Date 23-Mar-2022

ISIN CA78466B1085 Agenda 935555714 - Management

Record Date 16-Feb-2022 Holding Recon Date 16-Feb-2022

City / Country / Canada Vote Deadline Date 18-Mar-2022

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1	Election of Directors	Management					
	1 Clive Beddoe		For	For	For	None	None
	2 Eric Brouwer		For	For	For	None	None
	3 Gerald R. Connor		For	For	For	None	None
	4 Wilmot Matthews		For	For	For	None	None
	5 Andrew Morris		For	For	For	None	None
	6 Claude Ricks		For	For	For	None	None
	7 Eric Zwisler		For	For	For	None	None
2	Ratify Appointment of Independent Auditor	s Management	For	For	For	None	None
3	Approve Reverse Stock Split	Management	For	For	For	None	None

#### MICROBIX BIOSYSTEMS INC.

Security 59501P104 Meeting Type Annual and Special Meeting

Ticker Symbol MBXBF Meeting Date 30-Mar-2022

ISIN CA59501P1045 Agenda 935553227 - Management

Record Date 11-Feb-2022 Holding Recon Date 11-Feb-2022

City / Country / Canada Vote Deadline Date 25-Mar-2022

Item	Propos	al	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1	Election	n of Directors	Management					
	1	Peter M. Blecher		For	For	For	None	None
	2	Mark A. Cochran		For	For	For	None	None
	3	V. C. Embro-Pantalony		For	For	For	None	None
	4	Joseph D. Renner		For	For	For	None	None
	5	Martin Marino		For	For	For	None	None
	6	Cameron Groome		For	For	For	None	None
	7	Jennifer Stewart		For	For	For	None	None
2	Ratify A	Appointment of Independent Auditors	Management	For	For	For	None	None

## **Proxy Voting Record**

Proxy Voting Report: Q2 2022

Next Edge Biotech and Life Sciences Opportunities Fund

For the period of April 1, 2022 to June 30, 2022

#### THERATECHNOLOGIES INC.

Security 88338H100 Meeting Type Annual

Ticker Symbol THTX Meeting Date 10-May-2022

ISIN CA88338H1001 Agenda 935612742 - Management

Record Date 05-Apr-2022 Holding Recon Date 05-Apr-2022

City / Country / Canada Vote Deadline Date 05-May-2022

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Item	Proposa	al	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1	Election	n of Directors (Majority Voting)	Management					
	1	Joseph Arena		For	For	For	None	None
	2	Frank Holler		For	For	For	None	None
	3	Gérald Lacoste		For	For	For	None	None
	4	Gary Littlejohn		For	For	For	None	None
	5	Paul Lévesque		For	For	For	None	None
	6	Andrew Molson		For	For	For	None	None
	7	Alain Trudeau		For	For	For	None	None
	8	Dawn Svoronos		For	For	For	None	None
	9	Dale Weil		For	For	For	None	None
2	Ratify A	Appointment of Independent Auditors	Management	For	For	For	None	None
3	Miscella	aneous Corporate Actions	Management	For	For	For	None	None
4	Miscella	aneous Corporate Actions	Management	For	For	For	None	None

NUVASIVE, INC.

Security 670704105 Meeting Type Annual

Ticker Symbol NUVA Meeting Date 11-May-2022

ISIN US6707041058 Agenda 935580832 - Management

Record Date 22-Mar-2022 Holding Recon Date 22-Mar-2022

City / Country / United Vote Deadline Date 10-May-2022

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None

## PERSONALIS, INC.

Security 71535D106 Meeting Type Annual

Ticker Symbol PSNL Meeting Date 17-May-2022

ISIN US71535D1063 Agenda 935589169 - Management

Record Date 18-Mar-2022 Holding Recon Date 18-Mar-2022

City / Country / United Vote Deadline Date 16-May-2022

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None
4.	14A Executive Compensation Vote Frequency	Management	3 Years	3 Years	For	None	None

#### AURINIA PHARMACEUTICALS INC.

Security 05156V102 Meeting Type Annual

Ticker Symbol AUPH Meeting Date 17-May-2022

ISIN CA05156V1022 Agenda 935624468 - Management

Record Date 14-Apr-2022 Holding Recon Date 14-Apr-2022

City / Country / Canada Vote Deadline Date 12-May-2022

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1	Election of Directors	Management					
	1 Dr. George M. Milne		For	For	For	None	None
	2 Mr. Peter Greenleaf		For	For	For	None	None
	3 Dr. David R.W. Jayne		For	For	For	None	None
	4 Mr. Joseph P. Hagan		For	For	For	None	None
	5 Dr. Daniel G. Billen		For	For	For	None	None
	6 Mr. R. H. MacKay-Dunn		For	For	For	None	None
	7 Ms. Jill Leversage		For	For	For	None	None
	8 Mr. Timothy P. Walbert		For	For	For	None	None
	9 Dr. Brinda Balakrishnan		For	For	For	None	None
2	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3	14A Executive Compensation	Management	For	For	For	None	None

## CLEARPOINT NEURO INC.

Security 18507C103 Meeting Type Annual

Ticker Symbol CLPT Meeting Date 24-May-2022

ISIN US18507C1036 Agenda 935617350 - Management

Record Date 25-Mar-2022 Holding Recon Date 25-Mar-2022

City / Country / United Vote Deadline Date 23-May-2022

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1 Joseph M. Burnett		For	For	For	None	None
	2 Lynnette C. Fallon		For	For	For	None	None
	3 R. John Fletcher		For	For	For	None	None
	4 Pascal E.R. Girin		For	For	For	None	None
	5 B. Kristine Johnson		For	For	For	None	None
	6 Matthew B. Klein		For	For	For	None	None
	7 Linda M. Liau		For	For	For	None	None
	8 Timothy T. Richards		For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None
4.	Adopt Incentive Stock Option Plan	Management	For	For	For	None	None
5.	Authorize Stock Decrease	Management	For	For	For	None	None

#### PHATHOM PHARMACEUTICALS, INC.

Security 71722W107 Meeting Type Annual

Ticker Symbol PHAT Meeting Date 25-May-2022

ISIN US71722W1071 Agenda 935591532 - Management

Record Date 30-Mar-2022 Holding Recon Date 30-Mar-2022

City / Country / United Vote Deadline Date 24-May-2022

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1A.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1B.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

#### EXELIXIS, INC.

Security 30161Q104 Meeting Type Annual

Ticker Symbol EXEL Meeting Date 25-May-2022

ISIN US30161Q1040 Agenda 935609644 - Management

Record Date 28-Mar-2022 Holding Recon Date 28-Mar-2022

City / Country / United Vote Deadline Date 24-May-2022

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1a.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1b.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1c.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1d.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1e.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1f.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1g.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1h.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1i.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1j.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1k.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	Amend Stock Compensation Plan	Management	For	For	For	None	None
4.	14A Executive Compensation	Management	For	For	For	None	None

#### INARI MEDICAL, INC.

Security 45332Y109 Meeting Type Annual

Ticker Symbol NARI Meeting Date 26-May-2022

ISIN US45332Y1091 Agenda 935599627 - Management

Record Date 30-Mar-2022 Holding Recon Date 30-Mar-2022

City / Country / United Vote Deadline Date 25-May-2022

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.3	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None
4.	14A Executive Compensation Vote Frequency	Management	1 Year	1 Year	For	None	None

#### APELLIS PHARMACEUTICALS INC.

Security 03753U106 Meeting Type Annual

Ticker Symbol APLS Meeting Date 01-Jun-2022

ISIN US03753U1060 Agenda 935614176 - Management

Record Date 05-Apr-2022 Holding Recon Date 05-Apr-2022

City / Country / United Vote Deadline Date 31-May-2022

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None

#### SOLENO THERAPEUTICS, INC.

Security 834203200 Meeting Type Annual

Ticker Symbol SLNO Meeting Date 01-Jun-2022

ISIN US8342032005 Agenda 935617487 - Management

Record Date 12-Apr-2022 Holding Recon Date 12-Apr-2022

City / Country / United Vote Deadline Date 31-May-2022

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1 Anish Bhatnagar		For	For	For	None	None
	2 William G. Harris		For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None
4.	14A Executive Compensation Vote Frequency	Management	3 Years	3 Years	For	None	None
5.	Approve Reverse Stock Split	Management	For	For	For	None	None

INMUNE BIO,	INC.		
Security	45782T105	Meeting Type	Annual

Ticker Symbol INMB Meeting Date 01-Jun-2022

ISIN US45782T1051 Agenda 935636653 - Management

Record Date 12-Apr-2022 Holding Recon Date 12-Apr-2022
City / Country / United Vote Deadline Date 31-May-2022

/ United Vote Deadline Date 31-May-2022 States

Item	Proposa	al	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election	n of Directors	Management					
	1	Edgardo Baracchini, PhD			For		None	None
	2	J. Kelly Ganjei			For		None	None
	3	Scott Juda, JD			For		None	None
	4	Tim Schroeder			For		None	None
	5	Raymond J. Tesi, MD			For		None	None
	6	Marcia Allen			For		None	None
2.	Ratify A	Appointment of Independent Auditors	Management		For		None	None

#### VICARIOUS SURGICAL INC

Security 92561V109 Meeting Type Annual

Ticker Symbol **RBOT** Meeting Date 01-Jun-2022

ISIN US92561V1098 Agenda 935649496 - Management

Record Date 11-Apr-2022 Holding Recon Date 11-Apr-2022 City / Country

/ United Vote Deadline Date 31-May-2022

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1 Adam Sachs			For		None	None
	2 Sammy Khalifa			For		None	None
	3 David Styka			For		None	None
	4 Dror Berman			For		None	None
	5 Ric Fulop			For		None	None
	6 David Ho, M.D.			For		None	None
	7 Samir Kaul			For		None	None
	8 Philip Liang			For		None	None
	9 Donald Tang			For		None	None
2.	Amend Incentive Stock Option Plan	Management		For		None	None
3.	Ratify Appointment of Independent Auditors	Management		For		None	None

#### MEIRAGTX HOLDINGS PLC

SEDOL(s)

Security G59665102 Meeting Type Annual

Ticker Symbol MGTX Meeting Date 07-Jun-2022

ISIN KYG596651029 Agenda 935629331 - Management

Record Date 11-Apr-2022 Holding Recon Date 11-Apr-2022

City / Country / United Vote Deadline Date 06-Jun-2022

States Quick Code

Item	Proposal		Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Di	irectors	Management					
	1 Mar	rtin Indyk, Ph.D.		For	For	For	None	None
	2 Arno	old J. Levine, Ph.D.		For	For	For	None	None
	3 Tho	omas E. Shenk, Ph.D.		For	For	For	None	None
2.	Ratify Appoin	ntment of Independent Auditors	Management	For	For	For	None	None

#### ORCHARD THERAPEUTICS PLC

Security 68570P101 Meeting Type Annual

Ticker Symbol ORTX Meeting Date 07-Jun-2022

ISIN US68570P1012 Agenda 935647024 - Management

Record Date 27-Apr-2022 Holding Recon Date 27-Apr-2022

City / Country / United Vote Deadline Date 31-May-2022

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors (Full Slate)	Management	For	For	For	None	None
2.	Election of Directors (Full Slate)	Management	For	For	For	None	None
3.	Election of Directors (Full Slate)	Management	For	For	For	None	None
4.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
5.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
6.	Approve Remuneration of Directors and Auditors	Management	For	For	For	None	None
7.	Adopt Accounts for Past Year	Management	For	For	For	None	None
8.	14A Executive Compensation	Management	For	For	For	None	None
9.	Approve Remuneration of Directors and Auditors	Management	For	For	For	None	None
10.	Approve Remuneration of Directors and Auditors	Management	For	For	For	None	None

## TITAN MEDICAL INC.

Security 88830X819 Meeting Type Annual and Special Meeting

Ticker Symbol TMDI Meeting Date 08-Jun-2022

ISIN CA88830X8199 Agenda 935643925 - Management

Record Date 26-Apr-2022 Holding Recon Date 26-Apr-2022

City / Country / Canada Vote Deadline Date 03-Jun-2022

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1	Election of Directors (Majority Voting)	Management					
	1 Paul Cataford		For	For	For	None	None
	2 Anthony J. Giovinazzo		For	For	For	None	None
	3 Cary G. Vance		For	For	For	None	None
	4 Heather L. Knight		For	For	For	None	None
	5 Cathy Steiner		For	For	For	None	None
2	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3	Miscellaneous Corporate Actions	Management	For	For	For	None	None
4	Miscellaneous Corporate Actions	Management	For	For	For	None	None
5	Adopt Employee Stock Purchase Plan	Management	For	For	For	None	None

#### CONCERT PHARMACEUTICALS, INC.

Security 206022105 Meeting Type Annual

Ticker Symbol CNCE Meeting Date 09-Jun-2022

ISIN US2060221056 Agenda 935626575 - Management

Record Date 12-Apr-2022 Holding Recon Date 12-Apr-2022

City / Country / United Vote Deadline Date 08-Jun-2022

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1A.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	14A Executive Compensation	Management	For	For	For	None	None
3.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
4.	Authorize Common Stock Increase	Management	For	For	For	None	None

#### INTRA-CELLULAR THERAPIES INC

Security 46116X101 Meeting Type Annual

Ticker Symbol ITCI Meeting Date 09-Jun-2022

ISIN US46116X1019 Agenda 935628214 - Management

Record Date 19-Apr-2022 Holding Recon Date 19-Apr-2022

City / Country / United Vote Deadline Date 08-Jun-2022

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.3	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None
4.	14A Executive Compensation Vote Frequency	Management	1 Year	1 Year	For	None	None

## RENOVORX, INC

Security 75989R107 Meeting Type Annual

Ticker Symbol RNXT Meeting Date 09-Jun-2022

ISIN US75989R1077 Agenda 935633772 - Management

Record Date 13-Apr-2022 Holding Recon Date 13-Apr-2022 City / Country

/ United Vote Deadline Date 08-Jun-2022

States

Item	Propos	al	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election	n of Directors	Management					
	1	Ramtin Agah, M.D.		For	For	For	None	None
	2	Shaun R. Bagai		For	For	For	None	None
	3	David Diamond		For	For	For	None	None
	4	Kirsten A. Macfarlane		For	For	For	None	None
	5	Laurence J. Marton, MD		For	For	For	None	None
	6	U.S. Ryan, OBE PhD DSc		For	For	For	None	None
2.	Ratify A	Appointment of Independent Auditors	Management	For	For	For	None	None

CRISPR THERAPE	EUTICS AG			
Security	H17182108		Meeting Type	Annual
Ticker Symbol	CRSP		Meeting Date	09-Jun-2022
ISIN	CH0334081137		Agenda	935633974 - Manageme
Record Date	18-Apr-2022		Holding Recon Date	18-Apr-2022
City / Country	/ Switzerland		Vote Deadline Date	08-Jun-2022
SEDOL(s)			Quick Code	
Item Proposal		Proposed by		Against agement

OLDO	L(3)		Quick Code		
Item	Proposal	Proposed by	Vote	For/Against Management	
1.	The approval of the Swiss statutory annual report, the consolidated financial statements and the statutory financial statements of the Company for the year ended December 31, 2021.	Management	For	For	
2.	The approval of the appropriation of financial results.	Management	For	For	
3.	The discharge of the members of the Board of Directors and Executive Committee.	Management	For	For	
4a.	Re-election of Rodger Novak, M.D., as member and Chairman	Management	For	For	
4b.	Re-election of Samarth Kulkami, Ph.D. as the member to the Board of Director.	Management	For	For	
4c.	Re-election of Ali Behbahani, M.D. as the member to the Board of Director.	Management	For	For	
4d.	Re-election of Bradley Bolzon, Ph.D. as the member to the Board of Director.	Management	For	For	
4e.	Re-election of H. Edward Fleming, Jr. M.D. as the member to the Board of Director.	Management	For	For	
4f.	Re-election of Simeon J. George, M.D. as the member to the Board of Director.	Management	For	For	
4g.	Re-election of John T. Greene as the member to the Board of Director.	Management	For	For	
4h.	Re-election of Katherine A. High, M.D. as the member to the Board of Director.	Management	For	For	
4i.	Re-election of Douglas A. Treco, Ph.D. as the member to the Board of Director.	Management	For	For	
4j.	Election of Maria Fardis, Ph.D. as the member to the Board of Director.	Management	For	For	
5a.	Re-election of the member of the Compensation Committee: Ali Behbahani, M.D.	Management	For	For	
5b.	Re-election of the member of the Compensation Committee: Simeon J. George, M.D.	Management	For	For	
5c.	Re-election of the member of the Compensation Committee: John T. Greene	Management	For	For	

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6a.	Binding vote on total non-performance-related compensation for members of the Board of Directors from the 2022 Annual General Meeting to the 2023 annual general meeting of shareholders.	Management	For	For
6b.	Binding vote on equity for members of the Board of Directors from the 2022 Annual General Meeting to the 2023 annual general meeting of shareholders.	Management	For	For
6c.	Binding vote on total non-performance-related compensation for members of the Executive Committee from July 1, 2022 to June 30, 2023.	Management	For	For
6d.	Binding vote on total variable compensation for members of the Executive Committee for the current year ending December 31, 2022.	Management	For	For
6e.	Binding vote on equity for members of the Executive Committee from the 2022 Annual General Meeting to the 2023 annual general meeting of shareholders.	Management	For	For
7.	Non-binding advisory vote to approve the compensation paid to the Company's named executive officers under U.S. securities law requirements.	Management	For	For
8.	Non-binding advisory vote on the frequency of future shareholder advisory votes on the compensation paid to the Company's named executive officers under U.S. securities law requirements.	Management	3 Years	For
9.	The approval of increasing the maximum size of the Board of Directors.	Management	For	For
10.	The approval of an adjustment of the maximum number of authorized share capital and extending the date by which the Board of Directors may increase the share capital.	Management	For	For
11.	The approval of an adjustment of the conditional share capital for the conversion of bonds and similar debt instruments.	Management	For	For
12.	The approval of an increase in the conditional share capital for employee equity plans.	Management	For	For
13.	The approval of an Amendment to the CRISPR Therapeutics AG 2018 Stock Option and Incentive Plan.	Management	For	For
14.	The re-election of the independent voting rights representative.	Management	For	For
15.	The re-election of the auditors.	Management	For	For
16.	The transaction of any other business that may properly come before the 2022 Annual General Meeting or any adjournment or postponement thereof.	Management	For	For

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#### GINKGO BIOWORKS HOLDINGS, INC.

Security 37611X100 Meeting Type Annual

Ticker Symbol DNA Meeting Date 10-Jun-2022

ISIN US37611X1000 Agenda 935626638 - Management

Record Date 14-Apr-2022 Holding Recon Date 14-Apr-2022

City / Country / United Vote Deadline Date 09-Jun-2022

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1a.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1b.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1c.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1d.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1e.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1f.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

# MEDICINOVA, INC.

Security 58468P206 Meeting Type Annual

Ticker Symbol MNOV Meeting Date 14-Jun-2022

ISIN US58468P2065 Agenda 935628353 - Management

Record Date 18-Apr-2022 Holding Recon Date 18-Apr-2022

City / Country / United Vote Deadline Date 13-Jun-2022

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None

#### INCYTE CORPORATION

Security 45337C102 Meeting Type Annual

Ticker Symbol INCY Meeting Date 15-Jun-2022

ISIN US45337C1027 Agenda 935620713 - Management

Record Date 18-Apr-2022 Holding Recon Date 18-Apr-2022

City / Country / United Vote Deadline Date 14-Jun-2022

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.3	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.4	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.5	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.6	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.7	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.8	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	14A Executive Compensation	Management	For	For	For	None	None
3.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

#### SCHRODINGER, INC.

Security 80810D103 Meeting Type Annual

Ticker Symbol SDGR Meeting Date 15-Jun-2022

ISIN US80810D1037 Agenda 935627515 - Management

Record Date 19-Apr-2022 Holding Recon Date 19-Apr-2022

City / Country / United Vote Deadline Date 14-Jun-2022

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1a.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1b.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1c.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	14A Executive Compensation	Management	For	For	For	None	None
3.	14A Executive Compensation Vote Frequency	Management	1 Year	1 Year	For	None	None
4.	Approve Stock Compensation Plan	Management	For	For	For	None	None
5.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

# 10X GENOMICS, INC.

Security 88025U109 Meeting Type Annual

Ticker Symbol TXG Meeting Date 15-Jun-2022

ISIN US88025U1097 Agenda 935634231 - Management

Record Date 22-Apr-2022 Holding Recon Date 22-Apr-2022

City / Country / United Vote Deadline Date 14-Jun-2022

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1a.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1b.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1c.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Executive Compensation	Management	For	For	For	None	None

# ALECTOR, INC.

Security 014442107 Meeting Type Annual

Ticker Symbol ALEC Meeting Date 16-Jun-2022

ISIN US0144421072 Agenda 935628377 - Management

Record Date 20-Apr-2022 Holding Recon Date 20-Apr-2022

City / Country / United Vote Deadline Date 15-Jun-2022

States

Item	Propos	al	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Electio	n of Directors	Management					
	1	Arnon Rosenthal, Ph.D.		For	For	For	None	None
	2	David Wehner		For	For	For	None	None
	3	Paula Hammond, Ph.D.		For	For	For	None	None
2.	Ratify /	Appointment of Independent Auditors	Management	For	For	For	None	None
3.	14A Ex	ecutive Compensation	Management	For	For	For	None	None

# ALBIREO PHARMA, INC.

Security 01345P106 Meeting Type Annual

Ticker Symbol ALBO Meeting Date 16-Jun-2022

ISIN US01345P1066 Agenda 935629254 - Management

Record Date 19-Apr-2022 Holding Recon Date 19-Apr-2022
City / Country / United Vote Deadline Date 15-Jun-2022

/ United Vote Deadline Date 15-Jun-2022 States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1 David Chiswell, Ph.D.		For	For	For	None	None
	2 Davey S. Scoon		For	For	For	None	None
2.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

# QUANTERIX CORPORATION

Security 74766Q101 Meeting Type Annual

Ticker Symbol QTRX Meeting Date 23-Jun-2022

ISIN US74766Q1013 Agenda 935642632 - Management

Record Date 28-Apr-2022 Holding Recon Date 28-Apr-2022

City / Country / United Vote Deadline Date 22-Jun-2022

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.1	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.2	Election of Directors (Majority Voting)	Management	For	For	For	None	None
1.3	Election of Directors (Majority Voting)	Management	For	For	For	None	None
2.	14A Executive Compensation	Management	For	For	For	None	None
3.	14A Executive Compensation Vote Frequency	Management	1 Year	1 Year	For	None	None
4.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

#### INHIBIKASE THERAPEUTICS, INC.

Security 45719W106 Meeting Type Annual

Ticker Symbol IKT Meeting Date 24-Jun-2022

ISIN US45719W1062 Agenda 935646628 - Management

Record Date 02-May-2022 Holding Recon Date 02-May-2022

City / Country / United Vote Deadline Date 23-Jun-2022

States

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1.	Election of Directors	Management					
	1 Roy Freeman M.D.		For	For	For	None	None
	2 Paul Grint M.D.		For	For	For	None	None
2.	Authorize Common Stock Increase	Management	For	For	For	None	None
3.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

#### CARDIOL THERAPEUTICS INC.

Security 14161Y200 Meeting Type Annual

Ticker Symbol CRDL Meeting Date 28-Jun-2022

ISIN CA14161Y2006 Agenda 935659574 - Management

Record Date 13-May-2022 Holding Recon Date 13-May-2022

City / Country / Canada Vote Deadline Date 23-Jun-2022

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
1	Election of Directors (Majority Voting)	Management					
	1 David Elsley		For	For	For	None	None
	2 Jennifer Chao		For	For	For	None	None
	3 Michael Willner		For	For	For	None	None
	4 Colin Stott		For	For	For	None	None
	5 Peter Pekos		For	For	For	None	None
	6 Dr. G. Torre-Amione		For	For	For	None	None
	7 Chris Waddick		For	For	For	None	None
	8 Teri Loxam		For	For	For	None	None
2	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None

#### PROQR THERAPEUTICS NV

Security N71542109 Meeting Type Annual

Ticker Symbol PRQR Meeting Date 30-Jun-2022

ISIN NL0010872495 Agenda 935675655 - Management

Record Date 02-Jun-2022 Holding Recon Date 02-Jun-2022

City / Country / Netherlands Vote Deadline Date 29-Jun-2022

Item	Proposal	Proposed by	Vote	Management Recommendation	For/Against Management	Preferred Provider Recommendation	For/Against Preferred Provider Recommendation
4.	Receive Consolidated Financial Statements	Management	For	For	For	None	None
5.	Approve Discharge of Management Board	Management	For	For	For	None	None
6.	Approve Discharge of Supervisory Board	Management	For	For	For	None	None
7.	Election of Directors (Full Slate)	Management	For	For	For	None	None
8.	Election of Directors (Full Slate)	Management	For	For	For	None	None
9.	Election of Directors (Majority Voting)	Management	For	For	For	None	None
10.	Ratify Appointment of Independent Auditors	Management	For	For	For	None	None
11.	Miscellaneous Compensation Plans	Management	For	For	For	None	None
12.	Miscellaneous Compensation Plans	Management	For	For	For	None	None
13.	Approve Reverse Stock Split	Management	For	For	For	None	None
14.	Stock Issuance	Management	For	For	For	None	None
15.	Authorize Directors to Repurchase Shares	Management	For	For	For	None	None